

1 Evaluation of an agri-environmental program for restoring woody green infrastructure

2 within pastoral dairy landscapes: A New Zealand case study

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30 Pastoral landscape woody vegetation provides ecosystem services, but potentially competes for space,
31 light and nutrients that could provide additional farm production. A questionnaire determined the
32 values and behaviours of New Zealand dairy farmers to evaluate voluntary agri-environmental programs
33 for restoring woody vegetation. Findings indicate the area is increasing, while the composition and
34 configuration of networks are changing and redistributing. Farms with little are losing more, and those
35 with more are gaining. Farmers are planting new areas to increase their public ecosystem services, but
36 may not provide these services through planting and management. Barriers include insufficient private

37 woody vegetation ecosystem services, and low rates of growth of native plants. Government incentive
38 programs are ineffective in overcoming barriers. Farmers may be motivated by stronger evidence of
39 valued ecosystem services, information about their benefits and drawbacks, and how to support
40 services through planting and management. However, a targeted environmental stewardship scheme is
41 required to overcome barriers to planting, with government and the dairy industry working together to
42 develop and maintain a landscape-scaled woody vegetation network on private and public land. Such
43 networks would build sustainability and resilience into dairy farming, leading to an equitably sharing of
44 benefits and costs of their public ecosystem services.

45
46 **Key words:** Multifunctional agriculture; Ecosystem services; Woody vegetation green infrastructure;
47 Intensive pastoral dairy farming; Voluntary agri-environmental programs

48 49 **1. Introduction**

50 Woody vegetation within rangeland dairy landscapes provide many public and private ecosystem
51 services. They mitigate extreme weather that reduce grazing, milk production, and cattle wellbeing
52 (NAWAC, 2014); provide beneficial insect habitat reducing pasture pests, and pollinate adjacent
53 croplands (Jonsson et al., 2008); improve pasture growth where conditions are dry and windy (Hennessy
54 et al., 2007); provide supplemental income through lumber production (Hawke and Tombleson, 1993);
55 and sequester carbon (Czerepowicz et al., 2012). They also mitigate negative effects of agriculture such
56 as soil degradation and desertification; assist in filtering sediment, nutrients and fecal contaminants
57 from pasture runoff, and improve water clarity and channel stability within waterways (Parkyn et al.,
58 2003). Furthermore, they significantly contribute to aesthetic experiences of farms and the landscape
59 (Swaffield and McWilliam, 2013), signaling environmentally healthy dairy farming practices (Hughey et
60 al., 2013). Some disservices are also evidenced, including decreased water yield (Rutledge et al., 2010),

61 increased erosion if the woody vegetation is harvested (Dymond et al., 2012), reduced pasture and crop
62 production by taking up space and using light and nutrients (Dymond et al., 2012), and increased
63 vertebrate pests that degrade pasture and cropland, are vectors for livestock diseases, and prey on
64 native biodiversity (Moller et al., 2002). Providing multiple ecosystem services through woody green
65 infrastructure is an important strategy for achieving multifunctional agriculture (Stobbelaar and van
66 Ittersum, 2009) that secures conservation, a social license to farm, and market access for ethical food
67 and fiber production (Merfield et al., 2015), while increasing agricultural resilience to climate change
68 (MPI, 2015).

69 Scholars are concerned farmers are removing woody vegetation when they intensify farming
70 systems (Moller et al., 2008). New Zealand's neoliberal policies dictate a voluntary approach to
71 encouraging multi-functional farming, rather than a regulatory publicly-funded one (Craig et al., 2013).
72 Territorial Local Authorities (TLA) work with the dairy industry to implement Resource Management Act
73 (1991) policies to ensure landowners "avoid, remedy or mitigate" adverse effects on the land and water
74 (RMA 1991, Sec. 17(1)). They promote best practice, and offer cost sharing programs. Section 6(c) RMA
75 1991 requires TLAs to protect areas of 'significant' indigenous vegetation and habitats of indigenous
76 fauna (Norton and Roper-Lindsay, 2004). However, this protects larger patches than on farms (Blackwell
77 et al., 2008), and disregards introduced, or exotic, woody vegetation that provide significant ecosystem
78 services (Craig et al., 2013). There are no policies requiring, or encouraging, its restoration where absent
79 (Morgan, 2000).

80 Most studies exploring farmer attitudes and behaviours have focused on farmers rather than
81 dairy farmers, native woody vegetation rather than introduced, and its conservation, rather than its
82 restoration. Studies evaluating voluntary regulatory approaches for planting trees demonstrate
83 landowner support for tree planting when they attribute sufficient private values to their products and
84 services (Bradshaw et al., 1998; Fairweather, 1996; Mead, 1995; Rauniyar and Parker, 1998; Underwood

85 and Ripley, 2000; Vokoun et al. 2010). In New Zealand, studies indicate rangeland farmers value trees
86 for sheltering stock, and in areas unsuitable for pasture (Bradshaw et al., 1998; Wilson, 1992). A
87 minority of farmers also value their aesthetic services (Fairweather, 1996), and appreciate their soil
88 conservation services (Mead, 1995). Primary barriers to planting trees are time and money (Fairweather,
89 1996; Rauniyar and Parker, 1998; Rhodes et al., 2002; Underwood and Ripley 2000). Some studies
90 indicate financial incentives are inadequate to encourage farmers to take land out of production to plant
91 trees (Duesberg et al., 2013).

92 There is an urgent need for in-depth investigation of farmers' current management of woody
93 vegetation on New Zealand farms: Are woody vegetation networks changing in composition,
94 distribution, and area under voluntary agri-environmental programs and to what effect? Are networks
95 helping to mitigate dairy farming impacts? Are woody vegetation ecosystem services motivating farmers
96 to plant? What are key barriers and enablers to farmers planting woody vegetation? How might farmers
97 be incentivized to plant more, and agri-environmental programs improved to promote high functioning
98 networks?

99 This paper reports on the efficacy of New Zealand's voluntary agri-environmental program for
100 conserving and restoring woody vegetation within intensive dairy rangeland landscapes by exploring
101 attitudes and behaviours of dairy farmers regarding woody vegetation on farms. Recommendations for
102 improved approaches are provided.

103

104 **2. Methodology**

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106 **2.1 Questionnaire and Study population**

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108 A six-page mail survey was sent in 2008 to 1,993 dairy farmers chosen from the AsureQuality
109 database using a stratified random sample of 140-159 farms from each main dairy farming region.
110 Farmers surveyed included conventional and organic dairy farms. The average dairy farm size in New
111 Zealand at the time of the study was 172 ha (Statistics New Zealand, 2007), whereas the average farm
112 size of the 457 respondents was 219 ha. The difference probably arose because full-time farmers have
113 larger farms, and tend to respond to surveys more often than part time farmers (Fairweather et al.,
114 2009). Respondents who owned more than one farm were requested to answer the survey in
115 consideration of their largest farm. Respondents provided information on their age, level of formal
116 education, farm and off-farm income, and farm size.

117 The questionnaire contained mostly closed questions that asked respondents to select an answer
118 from a list; however, farmer attitudes regarding shelterbelts and hedges were measured by averaging
119 responses on a five-point Likert-type scale to 13 statements. The questions focused on native vegetation
120 remnants, shelterbelts and hedges, and woody vegetation associated with steep slopes, wetlands and
121 riparian corridors. We focused on management of indigenous and exotic woody vegetation values and
122 management separately because each has different implications for ecosystem services in production
123 landscapes. Shelterbelts are defined as consisting of one or more rows of trees, while hedges are
124 defined as consisting of one or more rows of shrubs. Wetlands are defined according to the New
125 Zealand Resource Management Act (1991). The response rate per region ranged from 19 to 32% of
126 farmers sampled, and averaged 25.3%, about the same as other surveys of New Zealand farm
127 populations in recent years (Connelly et al., 2003).

128

129 **2.2 Data analysis**

130

131 We categorized reasons farmers plant by costs and benefits of private versus public ecosystem
132 services. Private services are rival and excludable and their value can be captured in the marketplace
133 (Kroeger and Casey, 2007). For example, many production services, such as provision of firewood are
134 private ecosystem services. Public services are non-rival and non-excludable, and their value cannot be
135 easily captured (Kroeger and Casey, 2007).

136 Statistical analyses were undertaken in Genstat 16th Edition; VSN 2013. Logistic regressions were
137 used to test the relationship between whether or not trees or shrubs were planted in the last five years
138 and these predictor variables: 1) the region; 2) size of the farm; 3) age of the farmer; 4) farm income; 5)
139 non-farm income; 6) willingness to pay increased income tax to support tree planting; 7) awareness of
140 tax reductions for planting; 8) the importance of 11 features of shelterbelts i.e. provision of shelter from
141 wind, shade, fodder, timber, erosion control, increased numbers of natural enemies of pest insects,
142 refuge for pests and weeds, habitat for Bovine Tuberculosis vectors, habitat for native birds, habitat for
143 introduced bird, and aesthetics ('looking nice'); and 9) two practical constraints, i.e. impact on pasture
144 production and shelterbelt maintenance costs. The 13 variables in group 8 and 9 above were entered as
145 binary predictors derived from Likert scales i.e. 1 = 'very important' or 'important', compared to 0 =
146 neutral, 'unimportant' or very unimportant'). .

147 A second set of logistic regressions were used to test the relationship between whether farmers
148 had or had not removed shelterbelts in the last five years, using the same predictor variables and (a) the
149 area of native woody vegetation (excluding Manuka (*Leptospermum scoparium*) and kanuka (*Kunzea*
150 *ericoides*)) on the farm, and the farmers stated preference for (b) native compared to exotic species, (c)
151 broadleaf compared to conifer species, and (d) mixed or single species in shelterbelts. Both logistic
152 regressions were simplified by stepwise reduction to find the most parsimonious model that retained
153 significant predictors. Weak but non-significant region effects may have been present, so the two
154 models were reconstructed in a general linear mixed model with region as the random component of

155 the model to reflect the stratified random nature of the sample selection. This second step allowed
156 prediction of the mean probability of planting trees or removing shelterbelts for significant predictor
157 variables.

158 To analyse Likert scale data, to determine how important shelterbelt/hedge features and
159 functions are to dairy farmers, medians were calculated for each feature or function. To determine the
160 level of consensus among farmers in support of these opinions, inter-quartile ranges (IQR) were
161 calculated. To account for the ordinal scales involved, differences in the Likert scale responses were
162 tested by Wilcoxin matched-pairs tests, or Chi-squared contingency table tests of associations between
163 factors.

165 **3. Results**

167 **3.1 Constraints and enablers for planting woody vegetation**

169 Approximately 65 percent of respondents had planted woody vegetation on their farm within the
170 five years prior to the study (95% binomial confidence interval = 58-67%). Our Logistic regression models
171 discovered significant associations between an increased probability of planting trees and the
172 importance the farmer ascribed to aesthetics ($P < .001$). They also found a decreased probability of
173 planting when at least part of the farm was irrigated ($P = .009$) (i.e. farmers that felt that trees interfered
174 with irrigators). In addition, they also found a decreased probability of planting among farmer who
175 considered the cost of maintenance of shelterbelts significant ($P = .042$). There was also a weak
176 relationship between a decreased probability of planting and increasing farmer age, particularly among
177 famers over 80 ($P = .029$) (Appendix A, Table A.1).

178 Amongst those who did not plant woody vegetation, 76% cited associated costs (both financial
179 and time required) deterred them. The cost of fencing was the most frequently cited reason (38% of
180 respondents), followed by the cost of plantings, including labour (32% of respondents), and
181 maintenance of plantings and fences (24% of respondents), “The trees kept falling down, and the
182 maintenance and labour costs were too much (F13).” Approximately 54% of these respondents
183 indicated they did not think planting trees provided them with sufficient benefits to offset their costs,
184 “We are happy with what we have got. We have left the trees in place for protection (F8).” In addition,
185 about 31% said they do not have space for planting, or are unable to accommodate it after introducing
186 new irrigation systems, “They get in the way of my irrigators (F14).” (Figure 1).

187
188 **Figure 1 Reasons for not planting woody vegetation in the last five years by percentage of farmers not planting.**
189 **Costs associated with fencing and planting are key barriers, in addition to insufficient benefits.**

190
191 Our logistic regression models also found that farmers who think the aesthetics of their
192 shelterbelts are important, erosion control is important, and have a preference for single species
193 shelterbelts, are significantly more likely to have removed their shelterbelts in the last 5 years. Farms
194 with more than 5 hectares of native forest were less likely to have removed shelter than those with only
195 small fragments remaining. In addition, farmers of larger farms are more likely to have removed
196 shelterbelts than those farming smaller areas (Table A.2 Appendix 1).

197 Farmers removed shelterbelts for two main reasons: 1) they lost their ecosystem services, and 2)
198 to increase the productivity of pastures. These two reasons accounted for 55% and 45% of reasons,
199 respectively. Farmers said the loss of service was due to their shelterbelts being old (83% of loss),
200 hazardous (10%) and weedy or ugly (7%). For example, one farmer said, “It was very expensive to
201 maintain, particularly after a storm, and provided minimal stock shading (F7).” In terms of removing

202 shelterbelts to increase pasture productivity, farmers said they removed them to incorporate pivot
203 irrigators (35% of reasons), incorporate fencing (25%), increase pasture production (22%), and increase
204 field sizes (19%). For example, one farmer said, “We wanted to change the paddock size and it (*the*
205 *shelterbelt*) was in the way (F5).”

206

207 **3.2 Locations of newly planted woody vegetation**

208

209 The majority of farmer plantings are focused around waterways (34%), wetlands and ponds (28%)
210 and on steep slopes (24%). A small amount (12%) are within field margins, alongside roads and
211 driveways, and the remainder (2%) are planted adjacent to structures (e.g. silage bunks and sheds), and
212 in existing areas of native forest (Figure 2).

213

214 **Figure 2. Location of plantings in last five years by percentage. New plantings are concentrated near waterways,**
215 **wetlands and on steep slopes.**

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217

218 **3.3 Amounts/Sizes of existing indigenous vegetation, and newly planted woody vegetation**

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220 A majority of dairy farmer respondents (67%) had existing patches of indigenous woody
221 vegetation less than 5ha in size at the time of the study. Twenty two percent of farmers had patches of
222 regenerating native Manuka (*Leptospermum scoparium*)/Kanuka (*Kunzea ericoides*) scrub greater than
223 or equal to 1 ha, and 58% of farmers had other types of native woody vegetation. These patches occupy
224 a small percentage of dairy farm land (an average of 0.5% to 2.5%).

225 A majority of new plantings are small in area, with 68% and 78% of waterway and wetland/pond
226 plantings < .9ha, respectively. Plantings on steep slopes tend to be larger, with 54% of plantings < .9ha,
227 and 46% of plantings > .9ha (Figure 3). This latter finding may reflect the greater use of steep slopes for
228 lumber production (Figure 3). Some farmers indicated they focused their new plantings in low pasture
229 production areas. For example, one farmer said, “We have an ongoing program to plant out areas which
230 are less productive, about \$7,500 per year (F2).”

231

232 **Figure 3. Size of plantings in last five years by percentage. Most plantings are < .9 ha in size**

233

234 A majority (80%) of farmers had shelterbelts and/or hedges. Half of these farmers had only
235 shelterbelts, 37% had both shelterbelts and hedges, and 13% had only hedges. Fifty one percent of
236 farmers did not remove, replace and/or add a hedge or shelterbelt in the last five years. The other 49%
237 were actively changing their shelterbelts. Of these farmers, 6.4% were planting to retain existing
238 shelterbelt functions (without adding or subtracting shelterbelts), with 4.9% removing and replacing
239 their shelterbelts in existing locations, and 1.5% removing them from these locations, but planting them
240 elsewhere. Roughly a third of farmers (28.9%) were increasing their shelterbelt functions through
241 additional plantings, with 23.5% retaining their shelterbelts and planting additional ones elsewhere, and
242 5.4% removing them, replacing them, and planting additional ones elsewhere. Finally, 13.7% of farmers
243 were removing their shelterbelts. Assuming farmers planted the same area they removed, shelterbelts
244 may be increasing, with more than twice the number of farmers planting additional areas than removing
245 them (Figure 4).

246

247 **Figure 4 Strategies for managing shelterbelts/hedges in the last five years by percentage of farmers. Assuming**
248 **equal areas planted as removed, the amount of cover in shelterbelts may be increasing.**

249

250 **3.4 Farmer Ecosystem service goals for Planting Woody Vegetation**

251 Farmers indicated their main goals for planting adjacent to waterways, wetlands/ponds and on
 252 steep slopes were to support these public ecosystem services: water cleansing, nature conservation,
 253 stock shelter, erosion control, and to a lesser extent, aesthetics. Some farmers wanted to make it clear
 254 they received no benefit from their planting, “It makes us happy and satisfied that we are replacing
 255 exotic with native vegetation, and we get pleasure from this. We love the native birds. There is no
 256 financial bearing on what we plant (F121).” A significant number of farmers who planted on steep slopes
 257 also indicated the provision of timber was an important goal (Table 1).

258

259 **Table 1. Ecosystem service goals by percentage of farmers who planted in last five years by area. Public ecosystem service**
 260 **goals were most frequently cited.**

Reasons	Waterways % (#) farmers /392	Wetlands % (#) farmers /299	Steep Slopes % (#) farmers /234
Public ecosystem service reasons			
Water Cleansing	30% (109)	19% (58)	6% (13)
Nature Conservation	20% (72)	25% (76)	18% (43)
Stock shelter	20% (74)	18% (55)	16% (38)
Erosion control	19% (67)	11% (33)	26% (60)
Provision of aesthetic services	11% (40)	14% (43)	10% (24)
Private ecosystem service reasons			
Provision of timber	N.A.	5% (14)	17% (39)
Provision of firewood	N.A.	5% (14)	7% (16)

Provision of fruit	N.A.	2% (5)	N.A.
Provision of fodder	N.A.	.3% (1)	N.A.

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Wilcoxin matched pairs tests demonstrate statistically significant differences between the mean rankings of importance farmers attribute to different services provided by shelterbelts/hedges, and to their implementation issues. There is a high level of agreement among farmers that the most important services are the regulation of microclimate (excessive wind and sun), and the provision of aesthetic services. There is also high agreement areas for planting should not reduce pasture production (i.e. do not reduce growth rate or quality of pasture, area of pasture, or interfere with equipment that improves pasture production, like pivot irrigators), and are low maintenance. Although farmers agreed the provision of native bird habitat is an important service, there was less agreement. Farmers attribute significantly more importance to shelterbelts/hedges as habitat for native versus exotic birds ($p < 0.001$). Of note is the relatively low importance farmers attribute to the erosion control functions of shelterbelts/hedges, and for providing habitat for beneficial insects that help to reduce pasture pests (Figure 5).

Figure 5. The mean relative importance farmers attribute to shelterbelt/hedge features and functions and the level of consensus among farmers regarding their importance. Wind/shade protection and aesthetics are important design goals for farmers, and shelterbelts/hedges must be low maintenance and not reduce pasture production.

3.5 Type of woody vegetation farmers planted in last five years

Dairy farmers who plant woody vegetation on farmland in the last five years are significantly more likely to plant both native and exotic species, than just natives, or just exotics ($X^2 = 9.383$, $df=2$, $p =$

283 0.009). While 41% of respondents (115/282) planted both exotics and native species, 26 percent
284 (73/282) planted only natives and 33 percent (94/282) only exotics.

285 Reasons farmers gave for planting native and/or exotic vegetation in the last five years can be
286 divided into three reason categories: public ecosystem services, private ecosystem services and factors
287 related to shelterbelt/hedge implementation. Farmers indicated they planted native woody vegetation
288 largely because of its public ecosystem services. A majority of farmers (59%) who planted natives did so
289 because they find them more visually attractive than exotic plants, while a small number (2%) of these
290 farmers liked the look of having a mix of natives and exotics. Half of farmers (52%) also planted them
291 because they believed they provide a superior food source for birds. Many farmers (23%) also said they
292 preferred natives without giving a reason, suggesting they did so for ethical reasons. In addition, many
293 farmers (29%) planted natives because they thought they were easier to maintain than exotic plants.

294 There is less consensus among farmers regarding reasons for planting exotic woody vegetation
295 in terms of their ecosystem services. A significant number indicated they did so for their private
296 ecosystem services. The largest group (32%) said they planted them, at least in part, for their lumber
297 values, and a further 11% said that exotics provided superior shelter for their cattle. A further 19% said
298 they planted them for their superior aesthetics, or because they liked the look of a mix of exotics with
299 native plants. However, the most consensus among these farmers was with respect to their relative ease
300 of implementation. A majority (66%) agreed they grew faster than natives, were cheaper to purchase
301 (29%), and easier to maintain (24%) than natives.

302 Few farmers stated they planted either exotics or natives for their superior water cleansing,
303 erosion control or, in terms of natives, their provision of superior stock shelter, despite farmers
304 indicating these were among the most important reasons for planting woody vegetation. Similarly, few
305 farmers said they chose either natives or exotics because of the availability of government financing
306 (Table 2).

307

308 **Table 2. Reasons for planting native and/or exotic plantings by % (#) of farmers who planted in last five years. Natives are**
 309 **largely planted for their public ecosystem services, while exotics are grown for their private ecosystem services and because**
 310 **they are easier to grow, maintain, and are less costly.**

Public ecosystem services	Native	Exotic
Important food for birds	52% (92)	9% (18)
Bee habitat	0%	.5% (1)
Beneficial insect habitat	0%	0%
Water cleansing	0%	0%
Better erosion control	.6 (1)	4% (8)
More visually attractive	59% (104)	19% (41)
Just prefer	23% (41)	0%
Better stock shelter	3% (5)	11% (23)
Private ecosystem services		
Better timber values	4% (6)	32% (66)
Firewood	0%	1% (2)
Produces fruit	6% (10)	6% (12)
Fodder	1% (1)	5% (11)
Implementation factors		
Easier to maintain	29% (51)	24% (50)
Cheaper to purchase	9% (16)	29% (60)
Grows faster/Suits climate	14% (24)	66% (138)
Financial assistance/free seedlings available	4% (7)	3% (6)
Total number of respondents	100% (178)	100% (207)

311

312 There was little consensus among farmers regarding preferences for native, exotic or mixed
 313 plantings adjacent to waterways or wetlands/ponds. Farmers planted an equal number of all native and
 314 all exotic plantings (38% or 67/176 plantings), and a smaller number of mixed plantings (24% or 43/176

315 plantings) adjacent to waterways. In terms of wetlands and ponds, farmers demonstrated a preference
316 for native plantings, with 44% or 63/143 plantings, and smaller numbers of mixed (30% or 43/143), and
317 exotic only (26% or 37/143) plantings. Steep slopes were the only areas where farmers indicate a
318 significant preference for planting exotics over native plants, with 57% (71/124) of plantings exotic
319 (Figure 6).

320
321 **Figure 6 Percentage of plantings by area and type planted in the last five years. Many farmers prefer exotic woody**
322 **vegetation on steep slopes, and native woody vegetation is slightly preferred adjacent to wetlands/ponds.**

323 In terms of shelterbelts and hedges, a majority of farmers said they preferred native, broadleaf
324 and mixed species (Figure 7). There was no significant difference between the preferences of farmers
325 who had planted shelterbelts and hedges in the last five years and those who had not.

326
327 **Figure 7 Percentage of farmers who prefer natives and/or exotic, conifer and/or broadleaf and single and/or**
328 **multiple species shelterbelts and hedges. Most farmers preferred native, broadleaf and multiple species.**

330 **3.6 Fencing of woody vegetation**

331
332 Stock requires exclusion from plantings, such as with a fence, to prevent it from damaging
333 plantings and from defecating in waterways and wetlands/ponds which leads to water pollution and
334 degradation of aquatic/semi aquatic habitat. Respondents said that a majority of wetland (84% of
335 plantings), waterway (79% percent) and steep slopes (84%) were fully fenced. A further 14%, 18% and
336 9% of plantings in wetlands, waterways and steep slopes, respectively, were partially fenced (Figure 8).

337

338 **Figure 8 Percentage of farmers that fenced, partially fenced and did not fence plantings by area. The differences**
339 **between sites are statistically significant ($p=0.031$).**

340

341 A smaller percentage (56%) of shelterbelts/hedges were fully fenced, with 29% partially fenced
342 and 15% unfenced. Studies indicate fenced shelterbelts increase habitat for beneficial insects, i.e.
343 insects that prey on pasture pests (Fukuda et al., 2011). Of the respondents who indicated that at least
344 some of their shelterbelts were not fully fenced, 62% indicated they would fence their shelterbelts if
345 their regional council paid for 100% of the cost of fencing. On the other hand, 15% of farmers who had
346 unfenced shelterbelts said would not fence their shelterbelts even if fencing was free, and a further 23%
347 percent said they did not know whether they would fence or not. This suggests there may be disservices
348 or costs associated with fencing, or uncertainty about the benefits of fencing. For example, one farmer
349 said, "Shelterbelts can provide cover for pests – stoats, rabbits and possums (f5)."

350

351 **3.7 Incentives and assistance for planting**

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353 **3.7.1 Government funding of plantings**

354

355 An average of 17% of farmers planted their waterways, wetlands, and/or steep slopes with
356 financial support for their planting and/or fencing. Waterways received twice as much funding as
357 wetland/pond and steep slope plantings, with 24% funded versus 12% and 14% funded, respectively.
358 Most (92%) of the funded plantings were fenced, with 6% unfenced and 1% partially-fenced. An average
359 of 57% of funding was less than 30% and an average of 88% was less than or equal to 50% of the cost of
360 planting and/or fencing.

361 Over half (56%) of farmers said they would be motivated to plant trees or shrubs if free
362 vegetation or labour were provided. However, most of these farmers (89%) indicated they didn't need
363 this much funding to motivate them, indicating support for up to 50% of costs covered would be
364 sufficient (Figure 9). Some farmers said the amount needs to be high enough to offset the time and
365 energy to apply for funding. For example, one farmer said, "I wouldn't apply for \$1000, but would apply
366 for \$5000. It takes too much time to apply (F7)." Another farmer stated that more help is required to
367 assist farmers to take advantage of these programs, "Regional council may have so called incentives, but
368 you try to find out about them, and their quality, and it's not easy, and often not helpful (F 141)."

369
370 **Figure 9. Percent of funded farmers by % of funding per area compared with % of funding that would motivate**
371 **farmers to plant. A small percentage were funded, with most < level required to motivate most farmers.**

372
373 The other 44% of farmers said they would not be motivated by council offering free plants or
374 labour. A majority of this group (77%) said they would plant trees and shrubs regardless of the financial
375 support, "I would plant regardless, but if an incentive were available, it would be nice (F3)." Some felt it
376 was their responsibility to plant on their farm, not the government's, "I don't expect councils to fund
377 this. It is not their role (F9)." A few farmers also mentioned time was a significant barrier to planting,
378 "Not so much the money, I struggle to find the time. If someone could organize contractors etc., it
379 would be great (F133). "

380 The other 23% of farmers who said they would not be motivated by 100% financing, and would
381 not plant under any circumstances. Some felt there was no value in restoring more woody vegetation,
382 particularly native bush, on productive farmland and that New Zealand already had enough, "New
383 Zealand has 6 million ha of native bush, one of the most heavily stocked in the world for our size. This

384 obsession with natives is sickening. They take forever to grow, their ugly (unless they are established),
385 and regional council has no right to use rate payers money on such crap incentives (F9).”

386 There was little evidence of an association between farmer attitudes toward government funding
387 of plants and fencing and decisions regarding whether to plant woody vegetation on farms, or whether
388 to remove shelterbelts or hedges (Chi square test, $P>0.62$).

389

390 **3.7.2 Government tax breaks for planting and maintaining woody vegetation**

391

392 To encourage dairy farmers to mitigate soil erosion and provide shelter in support of animal
393 welfare, New Zealand government (2004) offered farmers a tax break on the establishment and
394 maintenance of trees in support of erosion control and shelter in the years covered by this study. A
395 similar number (between 71% and 75%) of farmers who planted and did not plant knew about the tax
396 break, and there was no association between knowing about the tax break and a decision to plant, or
397 remove shelterbelts/hedges (Chi square test, $P>0.62$).

398 Only 13% of farmers are in support of increased taxes to subsidize the costs of planting on private
399 farms, and there was no consensus regarding how much of an increase is desirable. While 50% thought
400 taxes should be raised less than \$30 NZ dollars, 50% thought taxes should be raised over \$31 NZ dollars
401 (Figure 10). There is no association between farmer attitudes about a tax increase and decisions
402 regarding whether or not to plant woody vegetation, or to remove shelterbelts and hedges (chi square
403 test, $p>0.62$).

404

405 **Figure 10. Percentage of farmers who favour a tax increase to subsidize trees on farms by amount of tax**
406 **increase. There was no consensus among farmers regarding amount taxes should be increased.**

407

408 Among the 87% of farmers who did not favour a tax increase, was concern that farmers receiving
409 payment would not care of their trees, “No, I don’t favour a tax because if people are paid to plant trees,
410 they may not take care of them (F4).” Others indicated they did not want government involved because
411 it would increase bureaucracy and the cost of planting, “Offering incentives for planting or fencing will
412 just increase the bureaucracy of the councils and also would probably be more costly – all things
413 considered (F435).” Still other farmers said they would like a tax deduction for retaining their native
414 bush, “I want a rates rebate on land planted in native (F3).”
415

416 **4. Discussion**

417 **Are woody vegetation networks changing in composition, distribution, and area?**

418 Historically, woody vegetation networks within many dairy landscapes were extensively planted
419 by sheep farmers and were dominated by exotic, often single species (Mead, 1995), within shelterbelts
420 and hedges. These networks provided vital functions to farmers, including shelter for sheep that are
421 more sensitive to adverse weather than cows (NAWAC, 2014), and stock control (Olson and Holland,
422 1995). Until fairly recently, there has been limited woody vegetation or fencing in association with
423 waterways or wetlands/ponds (MFE, 2001). The results of this research indicate woody vegetation
424 networks planted by dairy farmers are different in area, configuration and composition than those
425 planted by sheep farmers. Furthermore, they are changing. Farmers are increasingly planting and
426 fencing their waterways, and wetland/ponds, and removing, and sometimes changing the location of
427 their shelterbelts and hedges in response to intensification. Planting on steep slopes, particularly where
428 unsuitable for pasture, continues. Furthermore, the types of plants favoured by dairy farmers has now
429 changed to a preference for natives, broadleaf and mixed species plantings. The results also suggest the
430 total amount of woody vegetation cover is increasing. Farmers are planting in new locations (waterways

431 and wetlands/ponds), and more farmers are adding than removing shelterbelts/hedges. However, this
432 assumes the area being planted is equivalent to that being removed. It also assumes a representative
433 questionnaire sample. One limitation of this study is that only 25% of dairy farmers completed the
434 questionnaire, even if this reflects an acceptable rate of return (Connelly et al. 2003). It is also possible
435 that more farmers favourable to planting completed the questionnaire than farmers unfavourable to
436 planting, thus biasing the results. Research is required to measure actual cover through mapping these
437 areas of vegetation at a fine resolution, and comparing areas with those historical.

438 Despite this projection of a general increase, the distribution of woody vegetation across these
439 landscapes is changing. Farms with little vegetation remaining are more likely to remove their
440 shelterbelts, and those with larger patches are more likely to retain and plant. This suggests the
441 differences in amount and quality of cover between farms are becoming more extreme. There is a
442 pressing need to evaluate the ecosystem services provided by farms with skeletal, poor quality networks
443 relative to farms characterized by larger, and higher quality networks, to determine their level of service
444 and their acceptability among farming communities, and the public reliant on these services. These
445 farms and landscape components would benefit from targeted government incentive programs and
446 dairy company schemes that ensure woody vegetation networks provide minimum levels of service.

447

448 **Are the resulting woody vegetation networks significantly contributing to the mitigation of key dairy**
449 **farming impacts?**

450 The results of this study suggest farmers are aware of the mitigating role of woody vegetation
451 for reducing excessive nitrogen, sedimentation and phosphorus (Parliamentary Commissioner for the
452 Environment, 2013), and for improving support for native biodiversity (Lee et al., 2008). Farmers
453 indicated water cleaning, nature conservation and erosion control were major reasons for planting

454 adjacent to waterways, wetlands/ponds and on steep slopes. However, the extents to which on farm
455 plantings will mitigate water quality impacts and support native biodiversity in the landscape are
456 questionable. Based on a literature view of buffer widths, Parkyn et al. (2000) argued a self-sustaining
457 buffer of non-pasture grass and woody vegetation, requires between 10-20 metres in width where
458 surface water drainage occurs. This width would remove excessive sediment and nutrients, and sustain
459 indigenous vegetation with minimum weeding, given a functional planting design (Parkyn et al., 2000).
460 However, farmers suggest their new waterways, wetland/pond plantings are smaller in size, with 33%
461 less than .1 ha, and 40% less than .9ha. Furthermore, farmers indicated they chose either exotic, native
462 or mixed plants, not for their water cleansing or erosion control functions, but for their importance as
463 wildlife habitat for birds, aesthetic properties, and for their fast growth, low cost and ease of
464 maintenance.

465 The literature review of Parkyn et al. (2000) indicates that wider buffers are required to support
466 sensitive native wildlife; however, few New Zealand studies have determined functional widths in dairy
467 landscapes (MAF, 2004). Meurk and Hall (2006) argue that even small patch networks have the potential
468 to support New Zealand's extent wildlife within these landscapes, such as insectivorous birds, lizards
469 and invertebrates. They are small in size or vagile and can be supported by small areas if functionally
470 connected and of sufficient quality (Henle et al. 2004). For example, Meurk and Hall (2006) recommend
471 a network of 6.25 ha patches spaced 5 km apart, supplemented by 1.6 ha patches, spaced 1.2 km apart
472 and .01 ha patches, spaced .2 km apart, to support both sensitive and less sensitive native plants and
473 wildlife. Again, it is questionable whether dairy farm plantings will meet these requirements. The highest
474 quality and largest patches are remnant native vegetation; however a majority of farms have patches
475 less than 5 ha in size. These larger patches could be supplemented by the existing and new plantings on
476 farms; however, it is unclear whether these are of sufficient size, shape, quality, or the necessary
477 distance apart, to provide habitat for sensitive metapopulations. Farmers who plant indicate patches are

478 located and sized largely in response to availability of non-productive land, rather than those necessary
479 to support targeted wildlife. Furthermore, while all farmers say they prefer native, broadleaf and mixed
480 plantings (characteristics more likely to provide higher quality wildlife habitat), many are still planting
481 exotics, conifers and single species due to their lower costs and ease of management.

482 To improve the performance of woody vegetation on farms, farmers need more information
483 about the benefits and drawbacks of different plants, configurations, sizes and locations for supporting
484 these functions and their benefits and drawbacks for milk production. However, woody vegetation
485 networks that cross individual farm boundaries are required to significantly address both issues (MAF,
486 2004), and there is a key role to be played by both government and dairy companies in planning and
487 implementing coarser scaled networks.

488

489 **Are private and public ecosystem services of sufficient value to farmers to motivate them to plant,**
490 **and are they equally distributed?**

491 Previous studies indicate that without strong and enforced regulations, or effective regulatory or
492 market incentives, landowners are willing to retain remnant native woody vegetation if it is located on
493 land unsuitable for pasture (Bradshaw et al., 1998; Wilson, 1992), and/or when there is significant
494 private net benefit (Bradshaw et al., 1998; Fairweather, 1996; Mead, 1995; Rauniyar and Parker, 1998;
495 Underwood and Ripley, 2000; Vokoun et al., 2010). The results of this study indicate many farmers do
496 not believe woody vegetation provides sufficient benefit to offset its costs, particularly on productive
497 pasture land. While pasture provides high private services tightly linked with milk production, those of
498 woody vegetation are unrelated (e.g. lumber, firewood or berry production). Furthermore, farmers
499 indicate they don't value the production of these products in woody vegetation plantings. Rather, they
500 are planting largely to support public ecosystem service whose values cannot be easily capture in the
501 marketplace for profit (Kroeger and Casey, 2007). A few of these public services are related to dairy

502 production. For example, farmers value the shelter services of woody vegetation, and studies indicate
503 that shade, in particular, increases milk production during hot days (NAWAC, 2014). The other milk-
504 production related service recognized in the literature, is the provision of habitat for beneficial insects
505 that reduce pasture pests (Fukuda et al., 2011). However, there is a lack of consensus among farmers
506 regarding their importance. This may be a reflection of inadequate demonstration of their significance
507 to dairy farming (Jonsson et al., 2008), and/or studies indicating they can provide habitat for vertebrate
508 pests (Ragg and Moller, 2000).

509 Most farmers are planting to support public services unrelated to milk production, such as water
510 filtration, nature conservation and aesthetics. Previous studies regarding why farmers retain native
511 vegetation on farms also indicate they do so to support public services, such as recreation, aesthetics
512 and nature conservation (Cocklin and Dorman, 1994; Wilson, 1992). Farmers can't capture the value of
513 these public ecosystem services (and the money they invest in providing them) in the marketplace. As a
514 result, studies indicate farmers do not value them as highly as private production-related services
515 whose values can be captured (Parminter and Perkins, 1997), or even public services that are production
516 related, such as stock shelter (Carr and Tait, 1991; Parminter and Perkins, 1997; Sandhu et al., 2007).
517 Therefore, among many farmers, these services are not considered decisive factors in whether to plant
518 or not (Carr and Tait, 1991; Fairweather, 1996). Farmers who do place a high value on these services are
519 positively correlated with income (Rauniyar and Parker, 1998; Salam et al., 2006; Underwood and Ripley,
520 2000; Vanslebrouck et al., 2002), education and conservation knowledge (Cable and Cook, 1996;
521 Salam et al., 2006; Vanslebrouck et al., 2002; Wilson, 1992), and weakly correlated with information
522 about incentive programs and funding (Rhodes et al., 2002). Lower values among farmers are negatively
523 correlated with farmer age (Cable and Cook, 1996; Wilson, 1992). However, the results of this study did
524 not find an increased probability of planting with income, education or among farmers who had a
525 preference for natives, or identified nature conservation as a reason for planting. While younger farmers

526 were more likely to remove shelterbelts and hedges, they were also more likely to plant adjacent to
527 waterways, wetland ponds and on steep slopes. The reverse appears to be true of older farmers. Further
528 research is required to evaluate the ecosystem services provided by woody vegetation to farmers, and
529 the extent to which they provide services and products of sufficient value to motivate them to plant. If
530 services and benefits to farmers are insufficient, more effective government and industry incentives to
531 offset disservices and/or reduce costs to farmers are required if more planting is to occur.

532

533 **What are key barriers and enablers to farmers planting woody vegetation?**

534

535 Farmers said that cost of plants, fencing, and maintenance, including the time and labour
536 required, were significant barriers to planting trees, and choosing native species for their plantings.
537 While all farmers said they preferred native, broadleaf and mixed plantings, rather than the exotic,
538 conifer and single species plantings that were historically grown (Norton and Miller, 2000), many
539 farmers were still choosing to plant exotic species, conifers and single species. Many farmers indicated
540 exotic plants were lower in cost, faster to grow, and easier to maintain. Farmers all agreed that ease of
541 maintenance of shelterbelts and hedges is important. Maintenance is also a key factor in ensuring
542 woody vegetation plantings maintain their ecosystem services through time. Where it is inadequate,
543 functions become degraded or lost in shelterbelts (Chevasse, 1982; Hawke et. al., 1993; Olson and
544 Holland, 1995), and riparian buffers (Cooper et al., 1995; Nguyen and Downes, 1997). To overcome
545 these barriers to planting, and to planting native plants, and to ensure plantings retain their functions
546 through time, research is required to evaluate farmer maintenance requirements, and identify planting
547 and management strategies that meet farmer while maximizing support for targeted ecosystem services
548 from initial planting through to senescence.

549 Farmers said they remove their shelterbelts when they lose their aesthetic services. Increasing
550 aesthetic services on farms is also a top reason why farmers plant woody vegetation, particularly
551 adjacent to waterways, and wetlands/ponds. Studies demonstrate the look of the farm, particularly with
552 respect to whether it looks efficient and tidy, is highly influential to farmer decisions (Carr and Tait,
553 1991; Mead, 1995; Nassauer, 1989; Wilson, 1992;), and that policies and programs that do not meet
554 farmer aesthetic expectations are less likely to be implemented by farmers (Nassauer, 1989).
555 Fairweather (1994) demonstrates the importance New Zealand farmers attribute to appearing to be
556 hardworking, successful, and/or environmentally responsible among their peers and to the public, and
557 that farm appearance is a key way they communicate these traits. Certain elements and characteristics
558 in landscapes serve as visual cues to the traits landowners want to communicate (Gobster et al., 2007;
559 Nassauer, 1992). For example, cropland farmers in the United States demonstrated neatness and care
560 through the use of trees in rows (Nassauer, 1998). Research is required to identify visual cues important
561 to dairy farmers with respect to woody vegetation plantings in order to identify design and management
562 criteria that meet farmer aesthetic expectations through time. In particular, a staged management
563 strategy, involving mixed species and ages, is required to ensure the continuance of shelterbelt/hedge
564 ecosystem services with tree senescence, and to reduce the vulnerability of networks to disease or pest
565 outbreaks that could wipe out single species dominated plantings across whole landscapes. Further
566 research is also required to identify aesthetic properties of dairy farms and landscapes that are
567 genuinely aligned with ecological health. This will assist the dairy industry in promoting clean and green
568 farming practices to the public and the dairy marketplace, while reducing the risk of landscape
569 greenwashing – the development of landscapes that appear to be healthy, but are not (Gobster et. al.,
570 2007; Nassauer, 1992).
571

572 **How might farmers be incentivized to retain or restore woody vegetation to support multifunctional**
573 **agriculture?**

574 The existing regulatory incentives for planting and fencing are not motivating the majority of
575 farmers to plant and fence largely because most farmers do not think they are significant. Furthermore,
576 farmers are wary of government dictating their farming decisions, and some feel that funding programs
577 are inaccessible, and not cost effective. As a result, relatively few farmers are taking advantage of these
578 either tax breaks, or funding programs. Farmers that said they would be motivated to plant if significant
579 funding were provided, indicated they would require up to 50% of costs covered. New Zealand farmers
580 may be motivated by a government funding program such as Australia's 20 Million Trees which has
581 motivated the planting of more than 11 million trees, with targeted funding to grantees of between
582 \$20,000 and \$100,000 for native plants and, particularly, to those providing habitat for threatened
583 species (Australia Department for Environment, n.d.). A similar New Zealand program could be targeted
584 to farmers, and farmers within dairy landscapes, with particularly low cover, or toward farm areas
585 where ecosystem service benefits are highest and/or costs of planting lowest.

586

587 **5. Conclusion**

588

589 The findings indicate New Zealand's current neoliberal policy approach, relying on individual
590 farmers to voluntarily conserve and restore woody vegetation on their farms, is not sufficient to ensure
591 the development of high functioning green infrastructure networks in support of multiple ecosystem
592 services and multifunctional dairy production. While the total area of woody vegetation appears to be
593 increasing within dairy landscapes, it is being reconfigured and redistributed. Farms having very little are
594 losing even more, and those with more are gaining woody vegetation. The impacts of these changes on
595 valuable public ecosystem services both locally and across landscapes are unknown. While many

596 farmers are planting new areas with the goal of providing public ecosystem services, including water
 597 cleansing, and increased support for native biodiversity, the location and design of plantings do not
 598 appear to support these functions.

599 To improve New Zealand’s voluntary agri-environmental program, the woody vegetation
 600 ecosystem services of value to farmers need to be more effectively demonstrated. Woody vegetation
 601 planting and management plans, particularly those with native plants, need to be developed that are
 602 low cost, fast growing and easy to maintain. Plantings that maximize aesthetic services on farms while
 603 performing other key services that improve the health of the landscape would also encourage farmers
 604 to plant, particularly those signaling clean, productive, tidy and efficient dairy farming. Government
 605 incentive programs that provide at least 50% of initial funding particularly with respect to targeted areas
 606 that provide greatest benefit at least cost may also increase tree planting among farmers.

607 Improved farm-scaled programs for restoring woody vegetation in dairy landscapes will make a
 608 valuable contribution toward the development of multifunctional agriculture in New Zealand’s dairy
 609 landscapes; however, government and industry leadership are required to plan and implement the
 610 course scaled woody green infrastructure required to significantly improve water quality and support
 611 native biodiversity across farm boundaries.

612
 613 **Appendix A**

614 **Table A.1 Regression explanatory variables predicting probability woody vegetation planted in the last 5 years.**

Variable	t pr. ¹	Predicted pr. Planted ²
Aesthetics not important = 0		0.32
Aesthetics important = 1	<.001	0.58

Maintenance costs not important = 0		0.56
Maintenance costs important = 1	0.009	0.34
Farm is not irrigated = 0		0.53
At least part of farm irrigated = 1	0.042	0.37
Age group 22-29		0.69
Age group 30-39	0.152	0.43
Age group 40-49	0.324	0.52
Age group 50-59	0.408	0.55
Age group 60-69	0.166	0.44
Age group 70-79	0.164	0.41
Age group 80-88	0.029	0.16

615 ¹t test for the parameter explaining variation in probability of having planted in last 5 years

616 ² Back transformed predicted mean probability of having planted in last 5 years, using GLMM with region as a
617 random blocking variable

618

619 **Table A.2 Regression explanatory variables predicting probability woody vegetation planted in the last 5 years.**

Variable	t pr. ¹	Predicted pr. removed ²
Aesthetics not important = 0	Base	0.14
Aesthetics important = 1	0.068	0.23
Age group 22-29	Base	0.38

Age group 30-39	0.404	0.25
Age group 40-49	0.146	0.16
Age group 50-59	0.243	0.19
Age group 60-69	0.33	0.21
Age group 70-79	0.028	0.04
Age group 80-88	0.54	0.22
Erosion = 0	Base	0.15
Erosion = 1	0.028	0.23
Neither preferred	Base	0.11
Preferred mixed	0.173	0.18
Preferred single	0.006	0.30
No native vegetation	0.458	0.21
<0.10 ha native vegetation	0.986	0.24
0.1-0.9 ha native vegetation	Base	0.25
1-5 ha native vegetation	0.326	0.19
>5 ha native vegetation	0.003	0.08
Farm size (ha)	0.01	0.001221 ³

620 ¹t test for the parameter explaining variation in probability of having removed shelterbelts in last 5 years

621 ² Back transformed predicted mean probability of having removed shelterbelts in last 5 years using GLMM with
622 region as a random blocking variable.

623 ³Slope fir increases in probability of having removed shelterbelts per additional hectare of farm on the logit scale.

624

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